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FORM PTO-1390 US DEPARTMENT OF COMMERCE REV. 5-93PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEYS DOCKET NUMBER P01,0404

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/009656

INTERNATIONAL APPLICATION NO. PCT/DE00/01508

INTERNATIONAL FILING DATE

12 MAY 1999

PRIORITY DATE CLAIMED

12 MAY 2000

TITLE OF INVENTION

LED ARRANGEMENT

APPLICANT(S) FOR DO/EO/US

Karlheinz ARNDT, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 1. ⊠
- This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. 🗆
- This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay. 3. ⊠
- A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 4. ⊠
- A copy of International Application as filed (35 U.S.C. 371(c)(2)). 5. ⊠
 - is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. □ has been transmitted by the International Bureau.
 - is not required, as the application was filed in the United States Receiving Office (RO/US)
- A translation of the International Application into English (35 U.S.C. 371(c)(2). 6. ⊠
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)) 7. ⊠
 - a. 🗆 are transmitted herewith (required only if not transmitted by the International Bureau).
 - have been transmitted by the International Bureau. b. 🗆
 - have not been made; however, the time limit for making such amendments has NOT expired. c. 🗆
 - have not been made and will not be made.
- A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 🗆
- An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). UNSIGNED 9. 🛭
- A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. •⊠

Items 11. to 16. below concern other document(s) or information included:

- An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report, References). 11. 🗷
- An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 12. 🗆 (SEE ATTACHED ENVELOPE)
- 13. ⊠ Preliminary Amendment
 - A SECOND or SUBSEQUENT preliminary amendment.
- A substitute specification and substitute specification mark-up. 14. ⊠
- A change of address letter attached to the Declaration. 15. □
- 16. ⊠ Other items or information:
 - a.

 Submission of 2 sheets of Drawings
 - b. & EXPRESS MAIL #EL 843743719 US dated November 13, 2001

INTERNATIONAL APPLICATION NO TORNEYS DOCKET NUMBER "f"/"007556 PCT/DE00/01508 P01.0404 PTO USE ONLY CALCULATIONS 17 ⊠ The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO International preliminary examination fee paid to USPTO (37 C F.R. 1.482) No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2) \$740.00 Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2) paid to USPTO \$1040.00 International preliminary examination fee paid to USPTO (37 C F.R. 1 482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 100.00 **ENTER APPROPRIATE BASIC FEE AMOUNT =** \$ 890.00 Surcharge of \$130.00 for furnishing the oath or declaration later than \square 20 \square 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)). Number Rate Number Filed Claims Extra X \$ 18.00 \$ - 20 = 0 **Total Claims** 17 0 X \$ 84.00 \$ 01 - 3 = Independent Claims \$ \$280.00 Multiple Dependent Claims \$890.00 **TOTAL OF ABOVE CALCULATIONS =** Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28) SUBTOTAL = \$890.00 Processing fee of \$130.00 for furnishing the English translation later than $\equiv 20~\%~30$ months from the earliest claimed priority date (37 CFR 1.492(f)). \$890.00 **TOTAL NATIONAL FEE =** Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property _ + \$890.00 **TOTAL FEES ENCLOSED =** \$ Amount to be refunded \$ charged A check in the amount of \$890.00 to cover the above fees is enclosed. a. ⊠ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy b. □ of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to C. ⊠ Deposit Account No. 50-1519. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: **SCHIFF HARDIN & WAITE** Signature Attorney for Applicants PATENT DEPARTMENT 6600 Sears Tower Registration No. 28,982 233 South Wacker Drive Chicago, Illinois 60606-6473

CUSTOMER NUMBER 26574